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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/534,376
Filing Date	March 24, 2000
First Named Inventor	Kari Alitalo
Group Art Unit	1646
Examiner Name	Eileen B. O'Hara
Attorney Docket Number	28967/34140A

## ENCLOSURES (check all that apply)

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                        | <input type="checkbox"/> Assignment Papers<br>(for an Application)                         | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                                | <input checked="" type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of<br>Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply  | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                              | <input type="checkbox"/> Petition to Convert to a Provisional<br>Application               | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                              | <input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s)<br>(please identify below)                        |
| <input type="checkbox"/> Express Abandonment Request                            | <input checked="" type="checkbox"/> Terminal Disclaimer                                    |   |
| <input type="checkbox"/> Information Disclosure Statement                       | <input type="checkbox"/> Request for Refund  |   |
| <input type="checkbox"/> Certified Copy of Priority<br>Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application   | Remarks  |   |
| <input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 |  |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual Name

David A. Gass  
Marshall, Gerstein & Borun LLP  
233 S. Wacker Drive, Suite 6300  
Chicago, Illinois 60606

Signature

Date

December 23, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV233435853US, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date shown below.

Dated: December 23, 2003

Signature:

(Richard Zimmermann)



12-24-03

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**FEE TRANSMITTAL  
for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 110.00**Complete if Known**

Application Number	09/534,376
Filing Date	March 24, 2000
First Named Inventor	Kari Alitalo
Examiner Name	Eileen B. O'Hara
Group Art Unit	1646
Attorney Docket No.	28967/34140A

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account

Deposit Account Number

13-2855

Deposit Account Name

The Commissioner is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
	*** =	x	=
Independent Claims	*** =	x	=
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
		110		Terminal Disclaimer	110.00

Other fee (specify)

**SUBTOTAL (3) (\$)** 110.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

Name (Print/Type) David A. Gass

Signature

Registration No. (Attorney/Agent)

38,153

**Complete (if applicable)**

Telephone (312) 474-6300

Date

December 23, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV233435853, in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date shown below.

Dated: December 23, 2003

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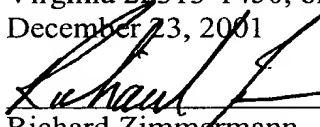
(Richard Zimmermann)



PATENT

Docket No. 28967/34140A

IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE

U.S. Applicants: Alitalo K et al,	) <b>Allowed: September 26, 2003</b>
Serial No.: 09/534,376	)
Filed: March 24, 2000	) Express Mail Label No.: EV233435853US
For: Vascular Endothelial Growth	)
Factor C (VEGF-C) Protein	) I hereby certify that this paper and the
and Gene, Mutants Thereof,	) documents referred to as enclosed herewith
and Uses Thereof	) are being deposited with the United States
	) Postal Service "Express Mail Post Office to
	) Addressee" Service Under 37 C.F.R. §1.10
	) on the date indicated and are addressed to:
	) Mail Stop Issue Fee, Commissioner for
Examiner: Eileen B. O'Hara	) Patents, P.O. Box 1450, Alexandria,
	) Virginia 22313-1450, on this date:
Group Art Unit: 1646	) December 23, 2001
	) 
	) Richard Zimmermann

**TRANSMITTAL OF FORMAL DRAWINGS**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir or Madam:

In response to the Notice of Allowance dated September 26, 2003, the Applicants respectfully submit formal drawings for the above identified application.

**REMARKS**

Transmitted herewith are seventeen pages of formal figures. although the Examiner accepted the figures as originally filed, Applicant submits herewith higher quality figures. Figure 10 was modified to provide more adequate margins and, as a result, this figure was split into 2 pages. No new matter was introduced and no changes to the specification need to be acknowledged as the changes to the formal figures merely extend the total number of pages of figures to 17 from the 16 that were originally filed.

In the event that the Examiner believes that there are any issues that remain to be resolved before the application is patented, the Applicants invite the Examiner to contact the undersigned attorney by telephone to expedite issuance.


**CONCLUSION**

This submission has been timely filed prior to payment of the issue fee, and consequently should be considered without petition or fee. However, if a petition or fee is required, the Applicants request that this amendment be considered as a request therefore, and that the necessary fee be charged to deposit account no. 13-2855.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, IL 60606-6357  
(312) 474-6300 (telephone)  
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By: \_\_\_\_\_



David A. Gass  
Reg. No. 38,153

Dated: December 23, 2003